

JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 30.06.2013

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 532642		Name of Scrip : JSWHL		Class of Security : Equity		Quarter Ended : 30.06.2013	
Category Code	<u>Partly paid up shares</u>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company	
	Held by Promoter/Promoter group			NIL	NIL	NIL	
	Held by Public			NIL	NIL	NIL	
	Total			NIL	NIL	NIL	
	<u>Outstanding Convertible Securities</u>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities	
	Held by Promoter/Promoter group			NIL	NIL	NIL	
	Held by Public			NIL	NIL	NIL	
	Total			NIL	NIL	NIL	
	<u>Warrants</u>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants	
	Held by Promoter/Promoter group			NIL	NIL	NIL	
	Held by Public			NIL	NIL	NIL	
	Total			NIL	NIL	NIL	
	Total paid up capital of the Company, assuming full conversion of warrants and convertible securities			1,10,99,625 shares of Rs.10/- each (Rs.11,09,96,250/-)			

Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of		Number of Shares	As a %
					(A+B) ¹	(A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F	22	28267	28267	0.25	0.25		
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	18	6174794	6174794	55.63	55.63		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)							
	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benefit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	40	6203061	6203061	55.88	55.88	N.A.	N.A.
(2)	Foreign							
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub-Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A.
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	40	6203061	6203061	55.88	55.88	N.A.	N.A.
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	15	4641	3780	0.04	0.04		
(b)	Financial Institutions/Banks	14	32491	31873	0.29	0.29		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	1	107172	107172	0.97	0.97		
(f)	Foreign Institutional Investors	29	799143	797881	7.20	7.20		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	59	943447	940706	8.50	8.50	N.A.	N.A.
(2)	Non Institutions							
(a)	Bodies Corporate	1012	945928	941714	8.52	8.52		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	33185	2440881	2233491	21.99	21.99		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	13	348698	348698	3.14	3.14		
(c)	Any Other(specify) Individual							
	Non-Resident Individual	1409	206168	168468	1.86	1.86		
	Foreign National	0	0	0	0.00	0.00		
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	5	1417	1417	0.01	0.01		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	35626	3953117	3703788	35.62	35.62	N.A.	N.A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	35685	4896564	4644494	44.12	44.12		
	TOTAL (A)+(B)	35725	11099625	10847555	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	35725	11099625	10847555	100.00	100.00	N.A.	N.A.

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	Individuals										
1	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL & SONS (HUF)	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
7	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
8	PRITHVI RAJ JINDAL H U F	1122	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
9	RATAN JINDAL	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
10	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
12	S K JINDAL AND SONS HUF	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	SANGITA JINDAL	1181	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
14	SAROJ BHARTIA	34	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
15	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
16	SHRADHA JATIA	1257	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
17	SMINU JINDAL	1381	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
18	TANVI JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
19	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
20	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
21	URMILA BHUWALKA	1525	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
Sub - Total (a)		28267	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Abhinandan Investments Ltd	143262	1.29	0	0.00	0.00	NA	NA	NA	NA	1.29
2	Colorado Trading Company Ltd	227060	2.05	0	0.00	0.00	NA	NA	NA	NA	2.05
3	Gagan Trading Company Ltd.	538137	4.85	0	0.00	0.00	NA	NA	NA	NA	4.85
4	Jindal Equipment Leasing & Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
5	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
6	Jindal Holdings Ltd	132095	1.19	0	0.00	0.00	NA	NA	NA	NA	1.19
7	Mansarover Investments Ltd	267637	2.41	0	0.00	0.00	NA	NA	NA	NA	2.41
8	Meredith Traders Pvt. Ltd.	3075	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00	0.00	NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
12	Rishikesh Finlease & Investments Pvt. Ltd.	1500	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	0	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00	NA	NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00	0.00	NA	NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6203061	55.89	0	0.00	0.00	NA	NA	NA	NA	55.88

(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

(I) (d) Statement showing details of locked in shares				
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e. Grand Total(A)+(B)+C indicated in statement at para(1)(a) above}	Promoter / Promoter Group / Public
	NIL	NIL	0.00	
	TOTAL	0	0.00	

(II) (a) Statement showing details of Depository Receipts (DRS)				
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter / promoter group" are in excess of 1% of the total no.of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

